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Emerging 2D-ferromagnetism and strong spin-orbit coupling at the surface of valence-fluctuating EuIr_2Si_2

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The development of materials that are non-magnetic in the bulk but exhibit two-dimensional (2D) magnetism at the surface is at the core of spintronics applications. Here, we present the valence-fluctuating material EuIr_2Si_2 , where in contrast to its non-magnetic bulk, the Si-terminated surface reveals controllable 2D ferromagnetism. Close to the surface the Eu ions prefer a magnetic divalent configuration and their large $4f$ moments order below 48 K. The emerging exchange interaction modifies the spin polarization of the 2D surface electrons originally induced by the strong Rashba effect. The temperature-dependent mixed valence of the bulk allows to tune the energy and momentum size of the projected band gaps to which the 2D electrons are confined. This gives an additional degree of freedom to handle spin-polarized electrons at the surface. Our findings disclose valence-fluctuating rare-earth based materials as a very promising basis for the development of systems with controllable 2D magnetic properties which is of interest both for fundamental science and applications.

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INTRODUCTION

Its proximity to the center of the lanthanide period makes elemental europium highly interesting for the elaboration of novel materials with rich and exotic properties that are governed by the $4f$ electrons and their interactions.^{1–3} In solids the rare earth atoms are usually in the trivalent state which in the case of Eu results in a $4f^6(5d6s)^3$ configuration. However, the $4f^6$ shell has a much lower Hund's rule polarization energy than the half-filled $4f^7$ shell.⁴ Therefore, in Eu systems it can be energetically more favorable to move one electron from the valence states to the localized $4f$ shell resulting in a divalent Eu state with a $4f^7(6s^2)$ configuration. This is connected with a pronounced change in the magnetic properties: according to Hund's rules, the trivalent Eu configuration has a non-magnetic ground state because of a total angular momentum $J = 0$, while the divalent Eu state has a large, pure spin moment of $7 \mu_B$ because of $J = S = 7/2$. This makes divalent europium an outstanding element for designing materials which might be of interest for magnetic devices, in particular at the nanoscale where two-dimensional (2D) electrons may be polarized due to exchange interaction with the ordered $4f$ moments of magnetically-active Eu atoms.

Because the magnetic divalent and non-magnetic trivalent configuration are close to each other in energy, either a magnetic $4f^7$ or a non-magnetic $4f^6$ state can be stabilized in Eu systems,

depending on external parameters like hydrostatic pressure, temperature or internal parameters such as chemical pressure, surrounding ligands, hole or electron doping.^{1–3,5,6} Moreover, manipulation of these parameters can also lead to a valence-fluctuating state as has been observed in a number of Eu materials like EuPd_2Si_2 ,⁷ EuNi_2P_2 ⁸ and EuIr_2Si_2 .⁹ Such a state is non-magnetic, or, more precisely, does not possess magnetic order. This balance at the edge between a strongly magnetic and non-magnetic state makes these phenomena highly relevant and attractive for material design, targeting systems with well predictable and effectively controllable properties. The magnetic, non-magnetic and valence-fluctuating properties of Eu materials are routinely investigated separately, but their combination in one system has not been considered much yet.

Here, we explore EuIr_2Si_2 , which in the bulk reveals a fluctuating valence of the Eu ions continuously changing from about 2.35 at room temperature to about 2.83 at low temperature.^{9–11} Remarkably, in the surface region we discovered very different properties. When EuIr_2Si_2 is terminated by Si, relaxation of the topmost Si-Ir-Si block tends to create more space for the Eu ions in the fourth layer, and thus stabilizes the magnetic $4f^7$ configuration, which has a slightly larger ionic radius. As a consequence, a magnetically active Eu layer is formed below the Si-Ir-Si silicide trilayer on top of the non-magnetic bulk material. A further

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interesting feature, which makes the system even more peculiar, originates from the spin-orbit splitting of the Si-terminated surface states—a strong Rashba effect due to the presence of the heavy Ir atoms in the silicide trilayer. Its combination with the exchange magnetic interaction of surface-state electrons with the divalent Eu layer underneath the topmost Si-Ir-Si trilayer opens perspectives to explore how itinerant 2D electrons within the outermost layers are affected and how their properties can be controlled by means of spin-orbit and exchange magnetic interaction at the surface of a non-magnetic Eu system.

RESULTS AND DISCUSSION

Photoemission insight into surface and bulk properties

We consider a Si-terminated crystal of EuIr_2Si_2 and focus on the properties of the Si-Ir-Si-Eu (SISE) block forming the surface. In order to trace the anticipated temperature-dependent valence change of Eu in EuIr_2Si_2 ^{9,11} by photoemission (PE), we took survey spectra using photon energies sensitive to the emission of Eu $4f$ states. Making use of the $4d \rightarrow 4f$ Fano resonance we established that the Eu^{2+} and Eu^{3+} PE signals are resonantly enhanced at photon energies of ~ 141.5 and ~ 145 eV, respectively. In Fig. 1 we show angle-integrated PE spectra, which were taken at 200 and 7 K with photons of 145 eV and normalized to the maximum of the Eu^{2+} emission. It can immediately be seen that in contrast to the general expectation both PE spectra are characterized by a pronounced Eu^{2+} signal close to the Fermi energy E_F . This is curious, as this holds true also for the PE spectrum taken at 7 K when the bulk of EuIr_2Si_2 is expected to be almost trivalent, and thus the Eu^{3+} signal at binding energies (BEs) above 6 eV should dominate over the Eu^{2+} emission. However, it can be clearly seen that the trivalent signal is only moderately enhanced and remains much weaker than the Eu^{2+} signal even at low temperature. This remarkable observation suggests that in the subsurface layer the Eu valence is different from that in the bulk. It is thus reasonable to propose that it might be stable divalent, i.e. magnetically active.

Let us now discuss the given spectra in more detail. In mixed-valent Eu compounds the $4f^6$ final state of Eu^{2+} is almost degenerate with the ground state and appears close to E_F while the $4f^5$ final state of Eu^{3+} is shifted to higher BEs. The separation between these two final-state multiplets by the Coulomb

repulsion U_{ff} is about 6 eV. The small peak seen at 1.6 eV BE is the known surface-core-level-shift signal which stems from divalent Eu atoms at the surface. Its low intensity suggests that the probed crystallite is predominantly terminated by Si and fractions with Eu-terminated areas are rather negligible.¹² Note that a finite contribution from Ir $5d$ states cannot be excluded, since the respective Cooper minimum is not very pronounced. The inset of Fig. 1 shows the temperature dependence of the Eu valence derived from bulk-sensitive X-ray absorption spectroscopy (XAS) measurements.¹¹ They imply that the Eu valence v is equal to 2.5 at 200 K and gradually increases up to about 2.83 at 7 K as indicated by red stars. Undoubtedly, the bulk-sensitive XAS results are in contradiction with our surface-sensitive PE measurements. On the basis of the performed analysis (see also Supplementary Note 1), we propose that for the Si-terminated surface of EuIr_2Si_2 the first Eu layer hidden by the topmost Si-Ir-Si trilayer is divalent. Our proposition is also supported by recent photoemission studies of other Eu-based compounds. For example, a divalent subsurface was reported for the isostructural, mixed-valent materials EuPd_2Si_2 ^{13,14} and $\text{EuNi}_2(\text{Si}_{0.2}\text{Ge}_{0.8})_2$.¹⁵

The proposed divalence of Eu in the subsurface of non-magnetic EuIr_2Si_2 is remarkable and raises the question if the Eu $4f$ moments in the fourth layer can be ferromagnetically (FM) ordered and if 2D magnetism can evolve in the surface region of mixed-valent EuIr_2Si_2 . A direct and simple way to examine whether the Eu $4f$ moments order is to investigate the surface electronic state associated with the Si-terminated surface. This state is located around the $\bar{M}$ -point of the surface Brillouin zone (SBZ) within a large gap of the surface-projected bulk bands. In the following we will therefore refer to the gap as the $\bar{M}$ -gap and to the surface state as the $\bar{M}$ surface state. The latter is an intrinsic feature of the Si-terminated surface of isostructural REIr_2Si_2 compounds ($\text{RE} = \text{Eu, Gd, Ho, Dy, Tb}$).^{16–19} The respective surface-state electrons are largely localized in the Si-Rh-Si-RE block building up the four topmost layers of the Si-terminated crystal. When the system moves into the magnetically ordered regime, the $\bar{M}$ surface state experiences a strong exchange-induced splitting due to coupling with the $4f$ moments in the fourth layer below the Si surface.^{17–19} Note that in contrast to EuIr_2Si_2 the mentioned REIr_2Si_2 compounds are bulk antiferromagnets (AFM) in which rare-earth FM planes are stacked antiferromagnetically along the c axis. From the band dispersion of the $\bar{M}$ surface state conclusions on the *in* or *out of plane* alignment of the $4f$ moments can be drawn.¹⁹ In this sense the $\bar{M}$ surface state acts as an indicator for the magnetic properties of the surface Si-Rh-Si-RE block. By exploiting this observation, we use angle-resolved photoelectron spectroscopy (ARPES) to explore the temperature dependence of the electronic structure of Si-terminated EuIr_2Si_2 and focus particularly on the spectral pattern reflecting the $\bar{M}$ surface state.

Having proposed a divalent Eu configuration in the fourth layer the reasonable question arises: Can we visualize the expected mixed-valent properties of Eu in the bulk of EuIr_2Si_2 with surface-sensitive ARPES? To answer this question we will now consider the ARPES-derived Fermi surface (FS) from Si-terminated EuIr_2Si_2 taken at 200 K ($v = 2.5$) and 7 K ($v = 2.83$) shown in Fig. 2. Let us first pay attention to the large, pronounced feature centered at the $\bar{\Gamma}$ -point. According to the extended knowledge on surface and bulk ARPES features in REIr_2Si_2 ($\text{RE} = \text{Rh, Ir}$) systems, this feature has been shown to correspond to one part of the bulk Fermi surface. Owing to its shape, it is usually referred to as the Doughnut and marked as $\mathcal{D}$ in Fig. 2.^{16,20,21} A direct comparison of the Doughnut on the left and right side of Fig. 2 shows that the temperature change leads to a significant resizing of the latter. At 200 K, when the bulk valence of Eu is 2.5, we observe a large Doughnut with its necks extending almost up to the $\bar{X}$ -points of the SBZ, while at 7 K ($v = 2.83$) they are dragged inwards resulting in a notably smaller size. A more detailed view on the temperature evolution of the Doughnut is

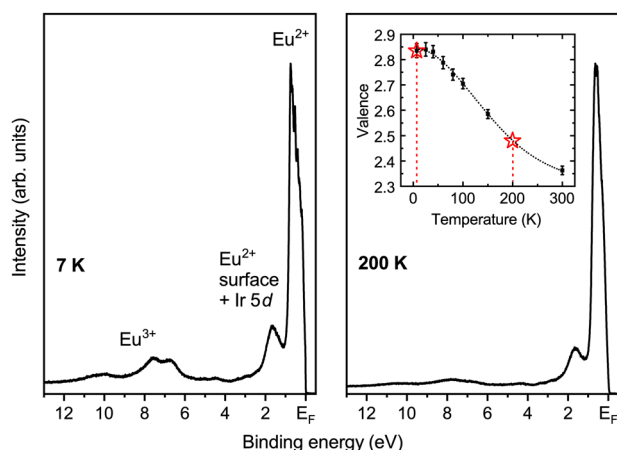


Fig. 1 The $4f$ -derived electron emission of EuIr_2Si_2 probed at different temperatures. Angle-integrated energy-distribution curves taken at the $4d \rightarrow 4f$ resonance sensitive to the Eu^{3+} configuration at a photon energy of 145 eV from a Si-terminated surface of the mixed-valent compound EuIr_2Si_2 . The temperature dependence of the valence as obtained by XAS is given as inset.¹¹ Red stars mark the valence of EuIr_2Si_2 in the bulk at 7 K ($v = 2.83$) and 200 K ($v = 2.5$) at which the PE spectra were acquired

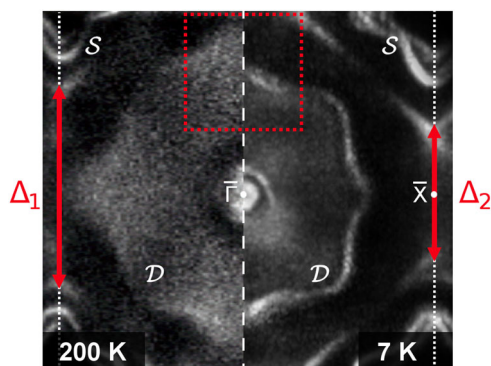


Fig. 2 ARPES view on the bulk-related properties of EuIr_2Si_2 . The temperature-dependent valence change from 2.5 at 200 K (left) to 2.83 at 7 K (right) results in a pronounced shrinking of the hole-like Doughnut $\mathcal{D}$ due to increasing band filling. Both maps are taken with photons of 55 eV

given in Supplementary Fig. S1. There, we also compare the experimental findings with the results of ab initio density functional theory (DFT) calculations. Moreover, we analyzed the size of the calculated Doughnut for different valences and related it to the experimentally determined temperature dependence of the valence (Supplementary Fig. S2).

The Doughnut is a pure bulk FS sheet and formed by *spd*-derived bands with hole-like dispersion.^{16,20,21} If no 4*f* states contribute to the FS,^{20,21} the size of $\mathcal{D}$ solely depends on the band filling of the hole-like *spd*-derived valence band. Since EuIr_2Si_2 is a mixed-valent compound, the temperature dependence of the Doughnut can be unambiguously understood in terms of gradual filling of the hole-like band with increasing valence, or in other words, decreasing 4*f* occupancy in favor of Eu 5*d* valence electrons. It follows that the band gets gradually shifted towards higher BEs resulting in a shrinking of the Doughnut. For similar reasons, the size of the $\bar{M}$ -gap increases as indicated by the arrows labeled Δ_1 and Δ_2 in Fig. 2, which span between the edges of neighboring $\bar{M}$ -gaps along the $\bar{M} - \bar{X} - \bar{M}$ direction. Analysis of the results from band structure calculations presented below suggests that a change of the band filling by 0.3 electrons leads to similar modifications of the electronic structure at the Fermi level as seen in ARPES. Thus we conclude that the experimental observations presented above fully confirm the mixed valence of EuIr_2Si_2 in the bulk in general, and the observed valence changes from XAS in particular.¹¹

Spin-orbit coupling and exchange magnetism in SISE

Now we turn to the main subject of our story by focusing on the surface-related properties of EuIr_2Si_2 which can be derived from a study of the $\bar{M}$ surface state. In Fig. 3a we show a large segment of the Fermi surface obtained from ARPES at 200 K together with the results of ab initio band structure calculations in the frame of DFT, presented in Fig. 3b. It grants full access to the subject of interest seen in the first and second SBZ. In the Fermi surface map, one can distinguish the surface-projected bulk states as well as the surface states and resonances. Three most vivid features should be pointed at, which are also typical of REIr_2Si_2 materials: (a) the previously discussed Doughnut $\mathcal{D}$, (b) the square-shaped surface-projected bulk band gap around the $\bar{M}$ -point, and (c) the diamond-shaped $\bar{M}$ surface state $\mathcal{S}$ lying within the $\bar{M}$ -gap. The large symmetric splitting of the $\bar{M}$ surface state into an inner and outer Fermi contour (FC) is due to the Rashba effect,^{22,23} which is of considerable strength in presence of the high-atomic-number 5*d* element Ir.

Comparing the ARPES-derived Fermi surface with the computed one shown in Fig. 3b we may conclude that all experimental

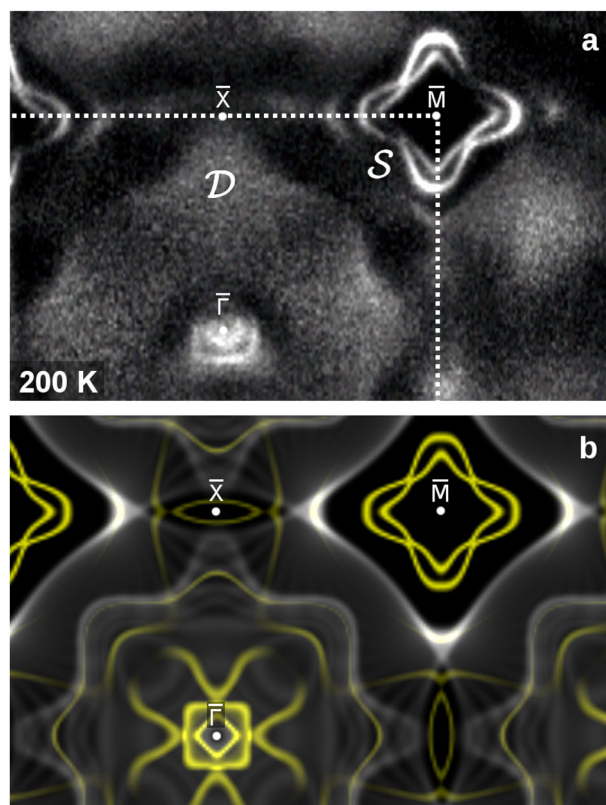


Fig. 3 Fermi surface of EuIr_2Si_2 for the paramagnetic phase of SISE. **a** ARPES map taken at 200 K, using photons of 55 eV. The Doughnut and the $\bar{M}$ surface state are labeled as $\mathcal{D}$ and $\mathcal{S}$, respectively. In **(b)** the respective computed Fermi surface is shown as a superposition of projected bulk and slab-derived electron states calculated within DFT. For the bulk the mean 4*f* occupancy was set to $n_{4f} = 6.5$ which corresponds to the experimentally determined valence $\nu = 2.5$ at 200 K¹¹

findings are nicely reproduced by the ab initio band structure calculations. To simulate the Si-terminated surface, we use a slab geometry where we set the 4*f* occupancy of the Eu atoms in the fourth, subsurface layer to $n_{4f}^{sub} = 7$ and fix the mean occupancy of bulk Eu to $n_{4f}^{bulk} = 6.5$. This allows to take into account the divalent subsurface and the mixed-valent bulk of EuIr_2Si_2 . For all Eu atoms we use an unpolarized 4*f* shell. To emphasize the SISE-related surface electronic structure, we have highlighted the respective bands in yellow while the bulk band states are shown in gray.

As expected for the Rashba effect, the strong spin-orbit coupling (SOC) drives the spins of the surface-state electrons to be parallel to the *ab*-plane and locks them perpendicular to the momentum $\mathbf{k}$. This results in a $\mathbf{k}$ -dependent spin polarization accompanied by a symmetric spin-orbit splitting of the $\bar{M}$ surface state. However, our ab initio calculations reveal that the classical Rashba model,²² which treats the electron spin and momentum as dynamical variables, is not applicable to Ir-based REIr_2Si_2 compounds.²⁴ This manifests itself in a complex spin structure, which predetermines the shape of the FCs in the presence of the magnetic order, see below.

Our proposition of divalent Eu in SISE implies that at certain temperatures the Eu 4*f* moments can be ordered producing a magnetic layer. In that regard the following tasks can be formulated. Experimentally, we need to find, if present at all, the onset of magnetic order of Eu in SISE and map the Fermi surface for this phase. This can be easily done by exploring the evolution of the $\bar{M}$ surface state with temperature, disclosing any possible deviations from its symmetric shape seen in Fig. 3 or changes in

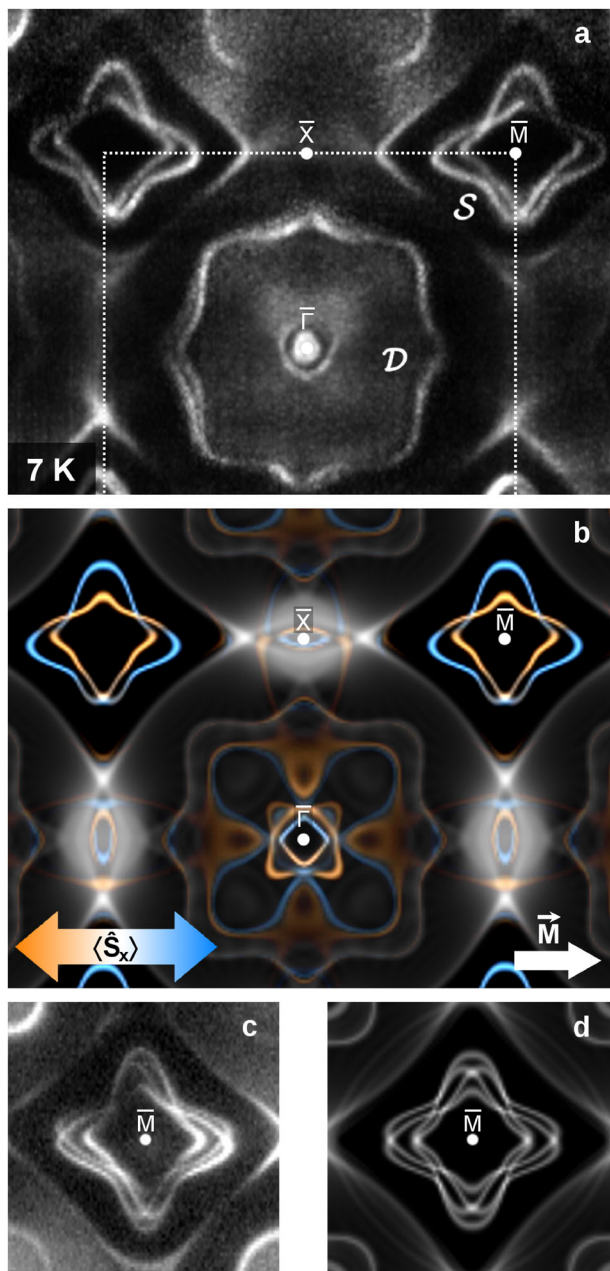


Fig. 4 Fermi surface of Eulr_2Si_2 for the FM-ordered phase of SISE. **a** ARPES map taken mainly from a single magnetic domain at 7 K with photons of 55 eV. **b** Calculated FS shown as a superposition of projected bulk and slab band structure. For the bulk the mean 4f occupancy was set to $n_{4f} = 6.2$ which corresponds to the experimentally determined valence $v = 2.83$ at 7 K¹¹. **c** If two magnetic domains of opposite magnetization are probed simultaneously, ARPES shows the superposition of two diamond-shaped FCs associated with each direction of magnetization. **d** Two domains simulated by ab initio calculations

the band splitting. Theoretically, we have to compute the Fermi surface in presence of magnetic order in SISE and check to which extent the calculation reproduces the experimental results.

The essential point is how the topology of the $\bar{M}$ surface state may change when the Rashba effect is accompanied by the exchange field of the ordered Eu 4f moments in SISE. From experiments on magnetic metal surfaces, it is well known that a sufficiently large in-plane magnetization affects the Rashba-split surface states, leading to an asymmetric surface-state dispersion

for $\mathbf{k}$ perpendicular to the magnetization,^{25–27} which, in turn, modifies the symmetry of the Fermi contours. An out-of plane magnetization does not affect the FC symmetry, but changes the splitting. Therefore, we decided to perform our next experiment at the lowest possible temperature (7 K), assuming that if the magnetic order sets in at some temperature above, the result of its action on the $\bar{M}$ surface state should be well seen in the ARPES-derived Fermi surface. The obtained results are shown in Fig. 4a. Already a brief inspection reveals that there are fundamental changes in the topology of the $\bar{M}$ surface state. We see that the state remains split but now its dispersion reveals strong asymmetry in $\mathbf{k}$ -space. This suggests that here the splitting of the state depends not only on SOC but also on the emergent magnetic order of the Eu 4f in SISE. Then, the appearing exchange-magnetic interaction (EMI) and SOC engage in competition with each other. This results in a strong distortion of the Fermi contours, because EMI favors a collinear alignment of the spins with the magnetization $\mathbf{M}$ and therefore strives to break up the SOC-induced spin-momentum locking. Note here that a strong asymmetry is seen only for the $\bar{M}$ surface state while the bulk Doughnut remains unaffected. It proves that the discussed phenomenon is observed exclusively at the SISE surface-related block of mixed-valent Eulr_2Si_2 .

One can also see that the distortion of the $\bar{M}$ surface state takes place in a well defined direction of the $\mathbf{k}$ -space. In the magnetically ordered phase the mutually orthogonal $\bar{M} - \bar{X}$ directions are not equivalent anymore. This point is relevant for DFT calculations where we choose a geometry with the Eu 4f moments aligned ferromagnetically along [100]. The computational results are shown in Fig. 4b. The spin expectation value $\langle \hat{S}_x \rangle$ along the magnetization direction is shown in light blue and orange color representing spin up and down, respectively. White corresponds to $\langle \hat{S}_x \rangle = 0$. To both Fermi contours a dominating spin can be assigned: the outer contour is primarily formed by spin up states, i.e., spins aligned parallel to the magnetization while spin down dominates the inner contour. A closer look, however, reveals that the spin expectation value changes sign upon moving along a single contour in the vicinity of the crossing points emphasizing the strength of SOC in Ir compounds. Apparently, EMI is not strong enough to entirely overcome the SOC induced spin-momentum locking and to align all spins of the 2D electrons with the magnetization of the Eu 4f moments.

In agreement with the results for other magnetic Rashba systems the calculations show that the direction of the magnetization determines the asymmetric deformation of the Fermi contours, which is always perpendicular to the magnetization and changes sign upon magnetization reversal. A detailed discussion of the evolution of the asymmetry and the spin structure of the surface-state FCs is given below in the frame of an effective $\mathbf{k} \cdot \mathbf{p}$ model.

So far, we discussed the ARPES results obtained from a single magnetic domain. However, it can be that different magnetic domains are probed simultaneously in ARPES measurements. In this case, each magnetic domain will contribute a different distortion to the FS and the resulting ARPES map will comprise a superposition of FS maps from each domain. As an example of such a situation, we present in Fig. 4c a respective ARPES result combined with our simulations for the $\bar{M}$ surface state depicted in Fig. 4d. In order to model two oppositely oriented magnetic domains in a single calculation we use a symmetric, Si-terminated slab in which each of the two surfaces accounts for one magnetic domain. For the computational details we refer to the *Theoretical modeling* section. As we can see, experiment and theory are in perfect agreement.

Now we turn to the $E(\mathbf{k})$ band maps derived from our ARPES measurements along with the results of ab initio DFT calculations. Between 200 K down to ~ 50 K we did not observe any tangible changes in the spectral pattern reflecting the $\bar{M}$ surface state,

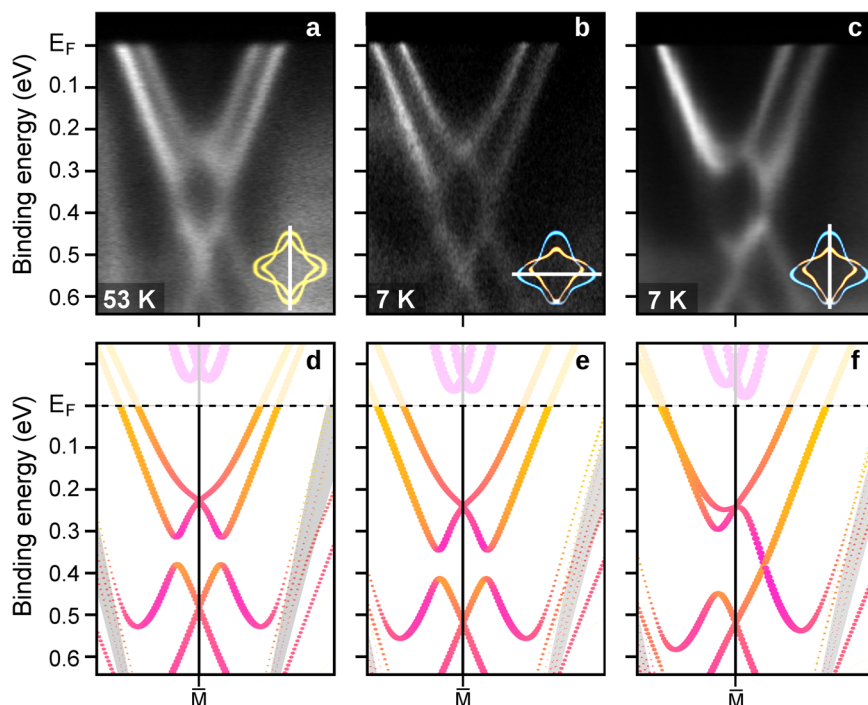


Fig. 5 Modifications of the band dispersion upon entering the FM phase. **a–c:** ARPES cuts taken at a photon energy $h\nu = 55$ eV showing the band dispersion of the $\bar{M}$ surface state in the $\bar{X} - \bar{M}$ direction of the SBZ above (53 K) and well below (7 K) the ordering temperature of the Eu 4*f* moments in SISE. In the insets the respective cuts in k -space are marked schematically by a white line through the FS. The maps given in **(b)** and **(c)** are taken at 7 K and correspond to mutually orthogonal $\bar{X} - \bar{M}$ paths. **d–f:** The results of respective *ab initio* band structure calculations. Pink and yellow fat bands highlighting the $\bar{M}$ surface state show the individual weights of Si in the first and Ir in the second layer of the SISE, respectively. The surface-projected bulk bands are plotted in gray

which retains its fourfold symmetry throughout this temperature range. This can be seen in Fig. 5a, where at 53 K the $\bar{M}$ surface state forms a pair of split bands symmetric relative to the $\bar{M}$ -point with an electron-like dispersion close to the Fermi level. The respective cut in k -space is shown in the inset. Repeating the ARPES measurement after rotating the sample by 90° gives a similarly symmetric spectral pattern (not shown here) proving the fourfold symmetry. It is noteworthy that within the examined temperature range there is no detectable gap opening at the $\bar{M}$ -point, which is a TRIM (time reversal invariant momentum). This indicates that the split bands are degenerate at the TRIM, as expected for pure spin-orbit splitting. The presence of a magnetization would have been recognized by its characteristic features: an in-plane magnetization causes an asymmetry in the surface-state $E(\mathbf{k})$ and shifts the degeneracy points away from the TRIM, while the out-of-plane magnetization lifts the degeneracy. Thus, the observed behavior of the $\bar{M}$ surface state implies that at 53 K the Eu 4*f* moments are not yet ordered and the SISE remains in a paramagnetic state.

In Fig. 5b, c, we show the ARPES maps taken after cooling the sample down to 7 K before and after rotating the sample by 90° , respectively. In Fig. 5b the dispersion seems symmetric with respect to the $\bar{M}$ -point similarly to the 53 K data, but now the size of the splitting is noticeably larger. However, in the image taken after rotation by 90° shown in Fig. 5c the dispersion reveals a strong asymmetry with respect to the $\bar{M}$ -point: to the right of $\bar{M}$ we find two branches while to the left the two electron-like bands seem to merge into a single band. Further, the band dispersion near the $\bar{M}$ -point contains valuable information on the orientation of the 4*f* moments with respect to the *c* axis. Recent studies on HoRh_2Si_2 have shown that the degeneracy of the surface state at the $\bar{M}$ -point pertinent to the paramagnetic phase is lifted when the moments have a notable out-of-plane component resulting in a Zeeman-like splitting, which can be clearly resolved in the ARPES

data.¹⁹ Since here, within a similar experimental resolution, the degeneracy of the bands remains unlifted in the 7 K data, we may conclude that the ordered 4*f* moments of the divalent Eu in SISE remain aligned within the basal plane.

In Fig. 5d–f, we present the calculated band structure underlying the above ARPES band maps. We highlight the $\bar{M}$ surface state by fat bands that show the joint contribution of the topmost Si- and adjacent Ir-atomic layer. Note that the experimentally observed non-dispersive bands representing the 4*f*⁶ final state multiplet are absent in the computed band structure. This is because the 4*f* electrons were treated within the open-core approach (see the *Theoretical modeling* section). Figure 5d accounts for the paramagnetic configuration of the subsurface of EuRh_2Si_2 , while in Fig. 5e, f the calculated bands for the magnetic phase are shown along the horizontal and vertical $\bar{X} - \bar{M}$ path, respectively. As seen in the figures, our calculations correctly describe the dispersion of the $\bar{M}$ surface state in the paramagnetic phase, where the band splitting arises solely due to SOC. In the magnetic phase, by aligning the subsurface 4*f* moments ferromagnetically along [100], we also highly accurately reproduce the experimentally observed changes, when the emerging EMI competes with SOC. From our calculations, we can also extract information about the fine details of the surface state dispersion, which cannot be experimentally resolved. For example, the seeming merger into a single band, Fig. 5c, in fact corresponds to two branches of a split band that become substantially less separated in energy and cross at a point in the $\bar{X} - \bar{M}$ line, Fig. 5f. The in-plane magnetization causes an insignificant shift of the degeneracy points, giving rise to a tiny gap (less than 1 meV) at $\bar{M}$. Above the Fermi level one can see an unoccupied surface state; it is localized at the topmost Si layer and is affected by the magnetization in a manner similar to the Rashba-split surface state on magnetic metal surfaces.

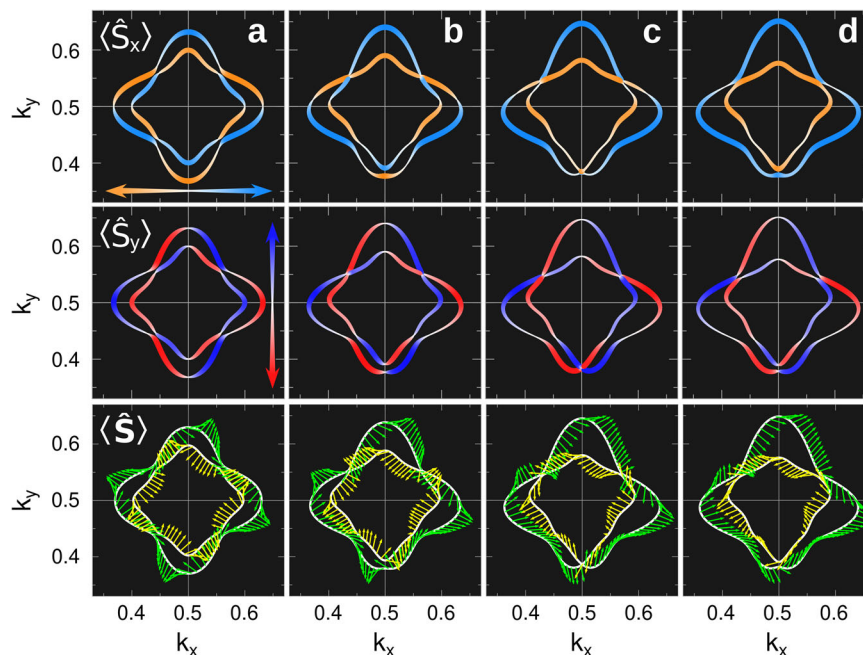


Fig. 6 Spin-resolved Fermi contours for the $\bar{M}$ surface state. The contours for the surface state of EuIr_2Si_2 by the effective $\mathbf{k} \cdot \mathbf{p}$ model around $\bar{M}$ [see Supplementary Fig. S6(b)] are shown for the paramagnetic phase (a) and for three model magnetic phases characterized by the exchange-interaction parameter $\mathcal{J} = 50$ meV (b), $\mathcal{J} = 90$ meV (c), and $\mathcal{J} = 115$ meV (d). For each phase, the expectation values of $\langle \hat{S}_x \rangle$ (top row) and $\langle \hat{S}_y \rangle$ (middle row) are presented. The resulting in-plane orientation of the spins, $\langle \hat{\mathbf{S}} \rangle = \langle \hat{S}_x \rangle \hat{\mathbf{x}} + \langle \hat{S}_y \rangle \hat{\mathbf{y}}$, is indicated by yellow (green) arrows for the inner (outer) contour in the bottom row. In the magnetic phases, the $4f$ moments of the subsurface Eu atomic layer are implied to be ferromagnetically ordered along the x axis

In our next experiment, we studied the temperature scale for the onset of magnetism in SISE. To this end, we explored the spectral pattern reflecting the $\bar{M}$ surface state in dependence on temperature, starting from 7 K and following the modification of the asymmetric band dispersion. The obtained results are shown in the Supplementary Materials (Supplementary Fig. S3) where also a detailed discussion of the experimental results is given (Supplementary Note 2). We found that magnetic order in SISE sets in at about 48 K, which is close to the respective FM surface ordering temperature of 42 K observed for the layered antiferromagnet EuRh_2Si_2 .¹⁹

The ferromagnetic order in the SISE block concluded from our ARPES measurements is independently confirmed also by magnetic circular and linear dichroism measurements which we performed at the Eu $M_{4,5}$ absorption edges at low temperature. As shown in Supplementary Note 3, we observe X-ray magnetic circular dichroism (XMCD) and X-ray magnetic linear dichroism (XMLD) signatures in the absence of an external magnetic field. The detected dichroic signals can only be explained by in-plane ferromagnetic order in the SISE block with moments aligned along the (100) direction, which is in full agreement with what has been observed in ARPES.

Competing effect of two spin-splitting mechanisms

To gain further insight into the peculiarities of the competition between SOC and EMI and to follow the modification of the surface-state Fermi contours by EMI under gradually increasing its strength we use the effective $\mathbf{k} \cdot \mathbf{p}$ model developed in ref.²⁴ based on the methodology of ref.²⁸. The model employs a fully ab initio derived relativistic $\mathbf{k} \cdot \mathbf{p}$ Hamiltonian and is thereby capable of accurately reproducing the spin polarization of the spin-orbit split surface states. To simulate the effect of the magnetic exchange interaction, we include into the model the exchange term originating from the scalar product $-J_{\text{ex}} \mathbf{M} \cdot \boldsymbol{\sigma}$, see, e.g., ref.²⁹ ($\boldsymbol{\sigma}$ is the vector of the Pauli matrices). Thus, the tunable parameter

of the model is $\mathcal{J} \equiv J_{\text{ex}} \mathbf{M}$, the magnetization $\mathbf{M}$ scaled by the strength of exchange interaction J_{ex} (see Supplementary Note 4).

We start with the paramagnetic phase of EuIr_2Si_2 , $\mathcal{J} = 0$. The spin-resolved contours obtained within the $\mathbf{k} \cdot \mathbf{p}$ model are shown in Fig. 6a [cf. the LDA Fermi contours in Supplementary Fig. S6(c)]: the sign and the absolute value of the spin x - and y -projections are seen to vary consistently along the outer and inner contour, reflecting a SOC induced spin-momentum locking around the point $\bar{M}$, which has the same symmetry as $\bar{\Gamma}$. Due to the symmetry, for example, the $\langle \hat{S}_x \rangle$ contours are the $\langle \hat{S}_y \rangle$ ones rotated clockwise by $\pi/2$. These symmetric Fermi contours with the specific spin-momentum locking different from the classical Rashba splitting will serve as a reference state in our further analysis of the competing effect of SOC and EMI on the behavior of the surface state. We thereby introduce the exchange interaction in the presence of the Rashba effect. Note that the spin structure of the surface state shown in the bottom row of Fig. 6a is characterized by a triple spin winding around the contour. One could heuristically reduce it to a $\mathbf{k}$ -cubic model containing the term $\sim (k^3 \sigma_- - k^3 \sigma_+)$ in the style of the Rashba or Dresselhaus two-band Hamiltonian for $m_j = \pm 3/2$ states of semiconductor quantum wells.^{30–32} However, although at the $\bar{M}$ -point this surface state is of predominantly $\text{Ir } d_{x^2-y^2}$ character,²⁴ it has neither Rashba nor Dresselhaus spin structure, thus complementing these two well-known spin-orbit-induced patterns.

In the magnetic phase of EuIr_2Si_2 , the in-plane orientation (as before, along the x axis, i.e., $\mathcal{J} = \mathcal{J} \hat{\mathbf{x}}$ of the ferromagnetically ordered $4f$ moments in the subsurface rare-earth layer is implied. By continuously increasing the parameter $\mathcal{J}$ accounting for EMI, we can visualize how the setting up of the magnetic order modifies the spin-structure and the shape of the Fermi contours. As seen in Fig. 6b, an increase of the $\mathcal{J}$ value up to 50 meV is sufficient to cause the marked asymmetry: We mainly observe an asymmetric stretch-out of the contours (in opposite directions for the outer and the inner one) along the y axis—the line

perpendicular to the magnetization direction. Keeping in mind the form of the exchange term, a simple picture of the deformation is that the ‘orange’ (light blue) states tend to decrease (increase) their binding energy and thus move towards (away from) the $\bar{M}$ -point the stronger the more intense is the color, i.e., the absolute value of $\langle \hat{S}_x \rangle$. As regards to the resulting spin structure, it has not undergone any tangible changes: it mostly preserves the spin-momentum locking similar to that in the paramagnetic state, Fig. 6b.

Next, we reach the $\mathcal{J}$ value of 90 meV that models the Eu 4f moments of the magnetic EuIr_2Si_2 , Fig. 6c. For this value of the exchange-interaction parameter, the shape of the Fermi contours and the detailed behavior of the spin x-projection by the $\mathbf{k} \cdot \mathbf{p}$ model are in full accord with the ab initio calculations shown in Fig. 4b. Here, the $\langle \hat{S}_x \rangle$ component almost completely loses its SOC-induced variations along the contours except for rather small fragments between $k_y = 0.5$ and $0.55 \, 2\pi/a$, while the $\langle \hat{S}_y \rangle$ spin structure with the specific spin-momentum locking is mainly preserved. This means that perhaps a stronger 4f magnetism is necessary to ‘decouple’ spin from momentum. Actually, a further increase of $\mathcal{J}$ by $\sim 30\%$ (up to 115 meV) leads to a spin structure in which $\langle \hat{S}_x \rangle$ has the same sign everywhere in the contour, Fig. 6d. In this case, EMI dominates over SOC. However, the specific pattern of the reduced $\langle \hat{S}_y \rangle$ component along the contours still resembles the original spin-momentum locking. In the bottom row of Fig. 6, we visualize the overall effect of the emerging exchange interaction on the constant energy curves CECs and the spin orientation, as described within our effective $\mathbf{k} \cdot \mathbf{p}$ model with increasing the exchange parameter $\mathcal{J}$. Thereby, we clearly show how the spin polarization of the surface-state electrons can be gradually tuned, producing a specific spin structure, which, to our best knowledge, has not been reported before.

We wish to note that the polarized state corresponding to Eu 4f moments oriented along the c axis cannot be realized in an ARPES experiment, but it can likely easily be realized outside of an ARPES spectrometer by applying a small external magnetic field. The magnetic anisotropy of divalent Eu systems is usually very weak because the magnetic moment of divalent Eu is a pure S state and therefore does not lead to a first order crystal electric field effect as in most other rare earths. Therefore a tiny external field is expected to be able to rotate the Eu moments in any direction in the basal plane or along the c axis. Thus using a small external field it should be easy to produce a very large single polarization domain, to switch from an x-oriented domain to a y-oriented domain, or to induce the c-oriented domain. This opens the way for a highly tunable polarized surface state. Moreover, the temperature-dependent mixed-valence property of the bulk also allows to tune the energy and momentum size of the projected bulk band gaps to which the 2D carriers are confined. This gives an additional degree of freedom to handle the spin-polarized carriers at the surface of an overall non-magnetic crystal.

In summary, we provide clear evidence for strong two-dimensional ferromagnetism at the surface of EuIr_2Si_2 despite this compound is non-magnetic in the bulk due to a mixed-valent Eu state. This surface magnetism appears because the relaxation of the top Si-Ir-Si trilayer switches the underlying Eu layer from the non-magnetic valence fluctuating state to the magnetic divalent state. This Eu layer strongly couples magnetically to 2D electrons in a surface state located in the Si-Ir-Si trilayer. These 2D electrons are also subject to a strong Rashba effect due to the large spin-orbit coupling of Ir and the absence of inversion symmetry at the surface. The beauty of this system lies in the temperature dependent ordering of the Eu 4f magnetic moments in the subsurface layer, which results in an impressive change in the spin polarization of the 2D electrons. At high temperatures the 4fs are disordered. Nevertheless the 2D electrons present a strong momentum dependent in-plane spin polarization due to the Rashba effect. But below 48 K the magnetic moments of Eu in the

subsurface layer become ferromagnetically ordered with a large moment pointing along one of the basal plane crystallographic axes. The resulting strong magnetic exchange competes with the spin-orbit coupling and changes the spin polarization of the 2D electrons in a remarkable way. The detailed insight into the changes of the spin polarization by interplay between spin-orbit coupling and exchange magnetic interaction is gained by DFT calculations and a DFT-based effective $\mathbf{k} \cdot \mathbf{p}$ model, which nicely reproduce the experimental results. This provides a sound basis for a systematic search for further appropriate materials. Furthermore the 2D electrons and its spin polarization can be manipulated by applying an external magnetic field or tuning the valence of the Eu in the bulk, e.g., using chemical substitution.

Finally, our results call for the reconsideration of a large class of materials containing heavy 5d elements together with rare-earth or transition metal elements, which show mixed-valent or paramagnetic bulk properties. Just like EuIr_2Si_2 , many of them may exhibit unique magnetic properties at the surface which have been overlooked so far.

METHODS

Experimental details

The temperature-dependent ARPES experiments from 200 K down to 7 K were performed at the I05 beamline of the Diamond Light Source (DLS).³³ High quality single crystalline samples of EuIr_2Si_2 ¹¹ were cleaved in-situ under ultra-high vacuum conditions.

XMCD and XMLD measurements were performed at beamline ID32 of the European Synchrotron Radiation Facility (ESRF).

Theoretical modeling

Ab initio calculations were performed in the frame of Density Functional Theory (DFT) using the Full-Potential non orthogonal Local-Orbital minimum-basis band-structure scheme (FPLO) described in ref.³⁴ in the Local Spin Density Approximation (LSDA). The bulk crystal we modeled in space group (SG) $I4/mmm$ taking maximal advantage of symmetry in the ThCr_2Si_2 family. Lattice parameters and the z position of the Si atom (Wyckoff position 4e) were optimized with respect to the total energy. To model the surface we use a slab geometry. A Si-terminated symmetric slab consisting of 19 atomic layers and an asymmetric slab of 32 atomic layers with Si termination on one and Eu termination on the other side were modeled in SGs $P4/nmm$ and $P4mm$, respectively. Relaxation of the surface was taken into account for the four utmost layers.

In all calculations the Eu 4f electrons were treated within the so-called *open-core* approximation, i.e., the Eu 4f states were removed from the valence basis and entered the theory as core orbitals. In doing so, the mean 4f occupancy n_{4f} is fixed to a given value, which, in our calculations, was the experimentally determined valence. To simulate our ARPES data taken at 7 K (200 K) we derived the corresponding bulk occupation number $n_{4f} = 6.2$ (6.5) from the experimental value $v = 2.8$ (2.5) of the valence determined by Mössbauer⁹ and XAS¹¹ measurements. Assuming that Eu is divalent at the surface and in the subsurface the associated occupancy was fixed to $n_{4f} = 7$, while the remaining layers were treated as in the bulk. To model the paramagnetic phase we use an unpolarized 4f shell. For simulation of the low temperature phase all Eu 4f electrons at the surface in the fourth layer were assumed to have parallel spins with the resulting moments aligned along the [100] direction.

To simulate our ARPES results for two magnetic domains [see Fig. 4c] we perform a single calculation using the Si terminated symmetric slab of 19 atomic layers described above with the Eu 4f moments in the subsurface layer aligned along [100]. Thereby we exploit the fact that in the paramagnetic phase the spatial inversion symmetry of the slab leads to twofold degenerate surface states with the hidden spin polarization³⁵ opposite at the two surfaces of the slab. This means that the same direction of the in-plane magnetization at both the two surfaces results in the distortion of the surface state bands in opposite directions. The same result can be achieved by two calculations in which only one of the surfaces is considered with opposite alignment of the 4f moments, respectively (see also ref.²⁶).

The ab initio calculations underlying the $\mathbf{k} \cdot \mathbf{p}$ model were performed for the Si-terminated (001) surface of the paramagnetic EuIr_2Si_2 with the extended linear augmented plane wave method³⁶ (the accuracy of the

wavefunctions is essential for the efficiency of the $\mathbf{k} \cdot \mathbf{p}$ method) within the local density approximation (LDA) for the exchange-correlation functional using the full-potential scheme of ref. ³⁷ (see Supplementary Note 4 for details of the $\mathbf{k} \cdot \mathbf{p}$ model and LDA calculations).

DATA AVAILABILITY

All relevant data are available from the corresponding author upon request.

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AUTHOR CONTRIBUTIONS

C.K. and D.V.V. designed the research; S.Se. and C.G. grew and characterized the samples; S.Sc., M.G., G.P., A.G., S.D., A.C., and D.V.V. performed the UV-ARPES experiments; K.K. performed and analyzed the XMCD and XMLD measurements; theoretical studies were performed by S.Sc., I.A.N. and E.E.K.; operation of the UV-ARPES facility was carried out by T.K.K. and P.D.; the obtained results were discussed together with E.V.C., A.Yu.V., C.L., K.K.I., K.K., and C.K.; The manuscript was written by S.Sc., I.A.N., E.E.K., and D.V.V.

ADDITIONAL INFORMATION

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